

Intellectual Property Advocates

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March 29, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

> U.S. Patent Application Re:

> > Serial No.: 09/720,979 (U.S. National Stage of PCT/JP99/03552)

International Filing Date: July 1, 1999

For: Negative-Sense RNA Virus Vector for Nerve Cell

Inventors: Fukumura et al. Attorney Docket No.: 4001-0003

Sir:

The following documents, are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Second Preliminary Amendment;
- 2. Statement to Support Filing and Submission of Sequence Listing in Accordance with 37 C.F.R. §§ 1.821-1.825;
- 3. Paper Copy of Sequence Listing;
- 4. Computer Readable Form of Sequence Listing;
- 5. Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); and
- 6. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Respectfully submitted.

SHANKS & HERBERT

Kristin K. Vidovich Reg. No. 41,448

Commissions for Potings, Box 84.1 United States Patient and Employment Office Washington, LCC 2023 www.uspt.goe

U.S. APPLICATION NUMBER NO. 09/720,979

FIRST NAMED APPLICANT Masayuki Fukumura

ATTY DOCKET NO 4001-0003

INTERNATIONAL APPLICATION NO

I.A. FILING DATE

07/01/1999

PCT/JP99/03552

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RECEIVED

MAR 1 8 2002

SHANKS & HERBERT

OFFICE

PRIORITY DATE

07/03/1998

CONFIRMATION NO. 8941 371 FORMALITIES LETTER

Date Mailed: 03/13/2002

Transpotomac Plaza

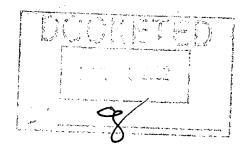
1033 North Fairfax Street Alexandria, VA 22314

Suite 306

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- · Copy of the International Application
- · Copy of the International Search Report
- Drawings
- Information Disclosure Statements
- Initial Application Filing Fees
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Small Entity Statement



The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).





The following items MUST be ished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO
09/720,979	PCT/JP99/03552	4001-0003

FORM PCT/DO/EO/905 (371 Formalities Notice)